



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplicant(s):

Hideki Takada, et al.

Examiner:

Not yet assigned

Application No.:

10/797,531

Confirmation No.:

4533

Filed:

March 10, 2003

Group Art Unit:

2612

For:

MASTER-FEEDER HEDGE FUND PRODUCT STRUCTURE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. The Statement is being submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of a first Office Action on the merits.

Copies of 23 referenced documents (and corresponding English-language abstracts), which are listed on the accompanying Form PTO/SB/08A, are enclosed herewith. A duplicate copy of Form PTO/SB/08A is transmitted herewith.

In accordance with 37 C.F.R. § 1.98, submission of this statement requires no fee. However, if for any reason a fee is due, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 50-0675, Order No. 848075.0076.

It is respectfully requested that the references be fully considered by the Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Schulte Roth & Zabel LLP Attorneys for Applicant 919 Third Avenue New York, NY 10017

(212) 756-2000

John C. Garces

Dated: June 8, 2006

New York, New York

Encls. DT/ja

CERTIFICATE OF MAILING

Date of Deposit: June 8, 2006

I hereby certify under 37 C.F.R. 1.8 that this correspondence and enumerated documents are being deposited with the United States Postal Service as First Class Mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name:

Joan Agostini

Signature:

Schulte Roth & Zabel, LLP

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/797,531				
Filing Date	March 10, 2003				
First Named Inventor	Hideki Takada				
Art Unit	2612				
Examiner Name	Not yet assigned				
Attorney Docket Number	848075/0076				

			U. S. PATENT D		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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			IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Cite Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)		The state of the s	Or Relevant Figures Appear	₹
		JP 02-198403	08-06-1990	Sony Corp.		
		JP 11-313235	11-09-1990	Sony Corp.	_	
		JP 06-206714	08-26-1988	Nikon Corp.		
		JP 02-080107	03-20-1990	NKK Corp.		
		JP 05-077272	03-30-1993	Hitachi Ltd.		
•		JP 09-265035	10-07-1997	Asahi Optical Co. Ltd.		

Examiner		Date	
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Substitute for form 1449/PTO			Complete if Known		
			Application Number	10/797,531	
IN	INFORMATION DISCLOSURE		Filing Date	March 10, 2003	
			First Named Inventor	Hideki Takada	
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Sheet	2 of	5	Attorney Docket Number	848075/0076	

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶			
		JP 05-241227	09-21-1993	Olympus Optical Co. Ltd	ł.				
		JP 08-029851	02-02-1996	Asahi Optical Co. Ltd.					
		JP 2000-175091	06-23-2000	Tanaka Mach:KK					
		JP 2002-098878	04-05-2002	Toshiba Corp.					
		JP 09-265035	10-07-1997	Asahi Optical Co. Ltd.					
		JP 03-072789	03-27-1991	Hitachi Ltd. Echo:KK					

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	INFORMATION DISCLOSURE	Filing Date	March 10, 2003	
•	STATEMENT BY APPLICANT	First Named Inventor	Hideki Takada	
		Art Unit	2612	
	(Use as many sheets as necessary)	Examiner Name	Not yet assigned	
	Sheet 3 of 5	Attorney Docket Number	848075/0076	

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
			MM-DD-YYYY		Or Relevant Figures Appear	T [€]
		JP 2002-139662	05-17-2002	Konica Corp.		
		JP 2002-098874	04-05-2002	Victor Co. of Japan Ltd.		
		JP 04-051105	02-19-1992	Ricoh Co. Ltd.		
		JP63-141011	06-13-1988	Minolta Camera Co. Ltd.		
		JP 2002-221750	08-09-2002	Toshiba Corp.		
		JP 2000-284422	10-13-2000	Fuji Photo Film Co. Ltd.		

Examiner	Date	
Signature	Considered	
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Complete if Known Substitute for form 1449/PTO **Application Number** 10/797,531 Filing Date March 10, 2003 INFORMATION DISCLOSURE First Named Inventor Hideki Takada STATEMENT BY APPLICANT Art Unit 2612 (Use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet 4 Attorney Docket Number 848075/0076

	U. S. PATENT DOCUMENTS				
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Foreign Patent Document Country Code ³ Number *Kind Code ⁶ (if known) JP 10-133263	Publication Date MM-DD-YYYY 05-22-1998	Name of Patentee or Applicant of Cited Document Konica Corp.	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Т
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JP 10-133263	05-22-1998	Konica Corp.		1
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INFORMATION DISCLOSURE	Filing Date	March 10, 2003	
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Sheet 5 of 5	Attorney Docket Number	848075/0076	

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	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
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